



08-20-04

FEE TRANSMITTAL

1714 \$  
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Application Number 09/532,839  
Filing Date March 21, 2000  
Confirmation No. 7157  
Inventor(s) Van de Mark et al.  
Group Art Unit 1714  
Examiner Name Edward J. Cain  
Attorney Docket Number UMO 1528

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☐ BASIC FILING FEE Subtotal (1) \$ \_\_\_\_\_  
(Type: \_\_\_\_\_)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_  
Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 180.00  
☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☒ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 180.00

  
Anthony R. Kinney, Reg. No. 44,834

August 18, 2004  
Date

ARK/leb

Express Mail Label No. EV 237015410 US



UMO 1528 (98UMR016)  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Van de Mark et al.  
Serial No. 09/532,839  
Filed March 21, 2000  
Confirmation No. 7157  
For WATER BORNE FILM-FORMING COMPOSITIONS  
Examiner Edward J. Cain

Art Unit 1714

August 19, 2004

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VIRGINIA 22313-1450

SIR:

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. In accordance with the OG notice of August 5, 2003 partially waiving the requirements of 37 C.F.R. 1.98(a)(2)(i), copies of the U.S. patent documents are not supplied. Applicants submit herewith copies of the foreign patent documents.

The required fee set forth in 37 C.F.R. 1.17(p) is also enclosed. Please charge any fees or credit any overpayment to Deposit Account No. 19-1345.

Respectfully submitted,

Anthony R. Kinney, Reg. No. 44,834  
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\*Enclosures

Express Mail Label No. EV 237015410 US  
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PTO/SB/08A				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/532,839
				Filing Date	March 21, 2000
				Confirmation Number	7157
				First Named Inventor	Van de Mark
				Group Art Unit	1714
				Examiner Name	Edward J. Cain
PATENT & TRADEMARK OFFICE AUG 18 2004 SHEET 1	1	of	1	Attorney Docket No.	UMO 1528 (98UMR016)

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		Number	Kind Code <sup>2</sup> (if known)				
	18	2003/0188828	A1	Boege, et al.	10/09/2003		
	19	3,918,984		High, et al..	11/11/1975		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
	20	EPO	0 076 558	A1	Flannigan	04/13/1983	
	21	EPO	0 611 809	A2	Craun, et al.	08/24/1994	
	22	DE	195 31 849	A1	Boge, et al.	08/11/1995	
OTHER ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					T <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.